

**APPENDIX B**  
version with markings to show changes made  
37 C.F.R. § 1.121(b)(iii) AND (c)(ii)

**SPECIFICATION:**

*Paragraph at page 25, lines 6 -20:*

Estrogens are known to lower serum cholesterol but to increase or to have no effect on serum triglycerides levels (Love et al., Ann. Intern. Med. 115: 860-864, 1991; Walsh et al., New Engl. J. Med. 325: 1196-1204, 1991; Barrett-Connor, Am. J. Med. 95 (Suppl. 5A): 40S-43S, 1993; Russell et al., Atherosclerosis 100: 113-122, 1993; Black et al., J. Clin. Invest. 93: 63-69, 1994; Dipippo et al., Endocrinology 136: 1020-1033, 1995; Ke et al., Endocrinology 136: 2435-2441, 1995). [Figure 3 shows] Figures 1A and 1B show that EM-800 possesses both hypocholesterolemic and hypotriglyceridemic effects in the rat, thus showing its unique action on the serum lipid profile which is apparently different from other SERMs, such as tamoxifen (Bruning et al., Br. J. Cancer 58: 497-499, 1988; Love et al., J. Natl. Cancer Inst. 82: 1327-1332, 1990; Dipippo et al., Endocrinology 136: 1020-1033, 1995; Ke et al., Endocrinology 136: 2435-2441, 1995), droloxifene (Ke et al., Endocrinology 136: 2435-2441, 1995), and raloxifene (Black et al., J. Clin. Invest. 93: 63-69, 1994). Thus, it is believed that a combination of estrogen and EM-800 should preserved the hypocholesterolemic and hypotriglyceridemic effects of EM-800, thus suggesting that such a combination could exert beneficial effects on serum lipids.

*Attached are marked up page numbers 69-70.*

Table 8

NAME	CODE NAME	STRUCTURE	Maximal stimulation of alkaline phosphatase	Inhibition of 1nM E <sub>2</sub> -induced stimulation of alkaline phosphatase	Maximal inhibition of 1nM E <sub>2</sub> -induced stimulation of alkaline phosphatase
			% of 1nM E <sub>2</sub> stimulation *	IC <sub>50</sub> (nM) (nb of experiments)	(nb of experiments)
EM-652.HCl; (EM-1538)			1.88±0.26 (22)	1.52±0.22 (18)	98.97±0.174 (18)
OH-Toremifene	EM-380		29.6±2.1 (6)	72.1±7.6 (3)	75.73±3.52 (3)
GW-5638	EM-1796		7.75±5.5 (2)	No inhibition.	
Raloxifene	EM-1105		12.8±1.7 (8)	3.39±0.9 (6)	94.31±1.74 (5)
LY 156758					

NAME	CODE NAME	STRUCTURE	Maximal stimulation of alkaline phosphatase	Inhibition of 1nM E <sub>2</sub> -induced stimulation of alkaline phosphatase	Maximal inhibition of 1nM E <sub>2</sub> -induced stimulation of E <sub>2</sub> -induced alkaline phosphatase
LY 353381	EM-1665		15.5±0.25 (5)	1.87±0.07 (2)	90.25±0.127 (2)
Lasoxifene (free base)	EM-3114		17.9 (1)	4.24 (1)	85.14 (1)
ERA-923	EM-3527		0.6 (1)	5.84 (1)	100.16 (1)

\*% of 1nM E<sub>2</sub> stimulation =

OD 405nm compound-OD 405nm basal/ OD 405nm 1nM E<sub>2</sub>-OD 405nm basal

Please see also Labrie et al. EM-652 (SCH 57068), a third generation SERM acting as pure antiestrogen in the mammary gland and endometrium, J. Steroid Biochem. and Mol. Bio. 69, 51-84, 1999.